

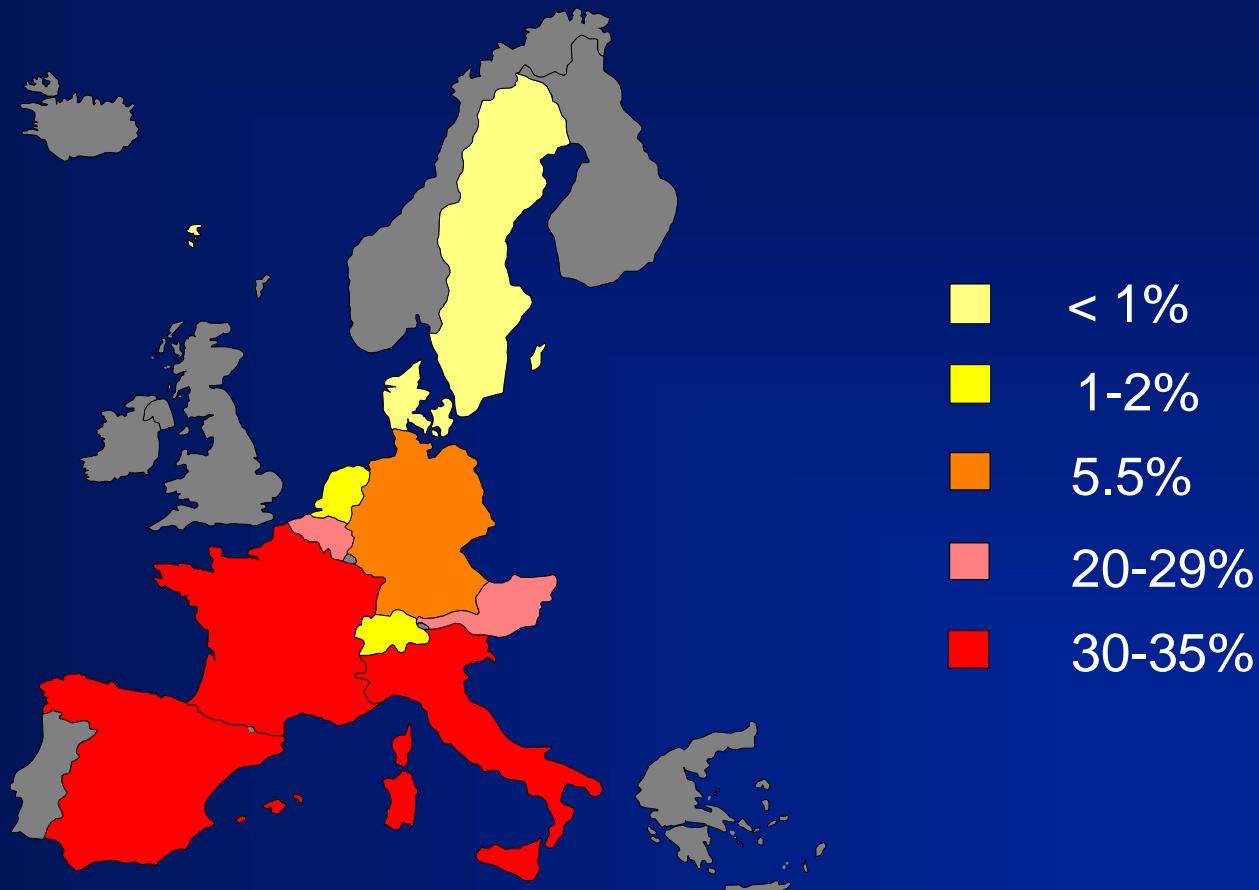


# Surveillance of Antimicrobial Resistance: from Microbiology Laboratory Data to Epidemiological Networks

Dominique L. Monnet

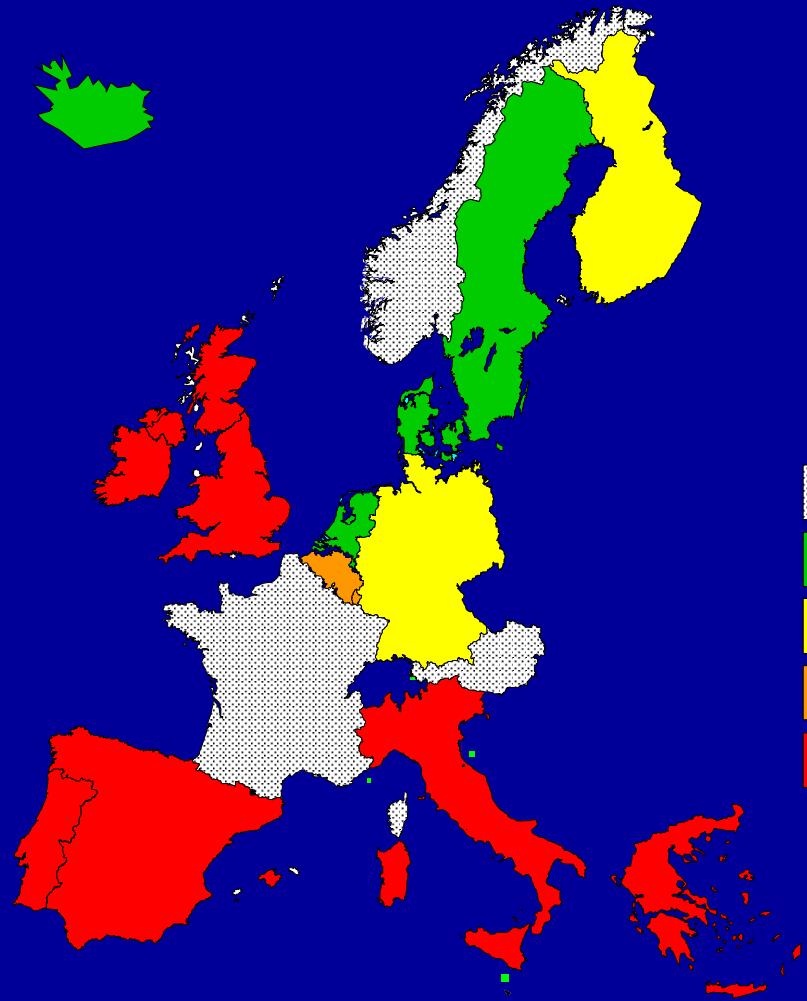
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# MRSA in Europe, 1990-1991



Source: Voss A, et al. Eur J Clin Microbiol Infect Dis 1994;13:50-55.

# Methicillin-Resistant *Staphylococcus aureus* (MRSA) in Blood Isolates, 2000



%MRSA (# countries)

[Grey square]	no data	(3)
[Green square]	<3	(4)
[Yellow square]	3-9	(2)
[Orange square]	10-29	(2)
[Red square]	>30	(6)

Available from: URL: <http://www.eauss.rivm.nl/>

Source: European Antimicrobial Resistance Surveillance System (EARSS), RIVM, May 2000.

# Vancomycin-Resistant Enterococci in Europe, 1995

- 1,706 enterococci in 9 European countries:  
B, F, D, I, NL, P, E, CH, UK
- 1.8% VRE (22 *vanA*, 8 *vanB*)
- 0.4% vancomycin-intermediate enterococci
- VR *E. faecium* in all countries except Spain
- Glycopeptide-resistant *E. faecalis* only in France, Germany, Portugal, Italy and Spain

Source: Grüneberg RN, Hryniewicz W. Int J Antimicrob Agents 1998;10:271-277.

# Vancomycin-Resistant Enterococci in 27 European Countries, 1997

*VanA*



*VanB*



*VanC*



■ 0%

■ < 1%

■ 1-1.9%

■ 2-4.9%

■ 5-9.9%

■ >=10%

Source: Schouten R. 9th ECCMID, Berlin, 1999. Abstract P0136.



**‘There are no reliable data in this area --  
simply fragments of information and  
anecdotes that we use to draw  
an overall picture’.**

**T.F. O’Brien**

Source: Neu HC, et al. Diagn. Microbiol. Infect. Dis. 1992;15:53S-60S.



# Comparison of Multicentric Resistance Data Sources

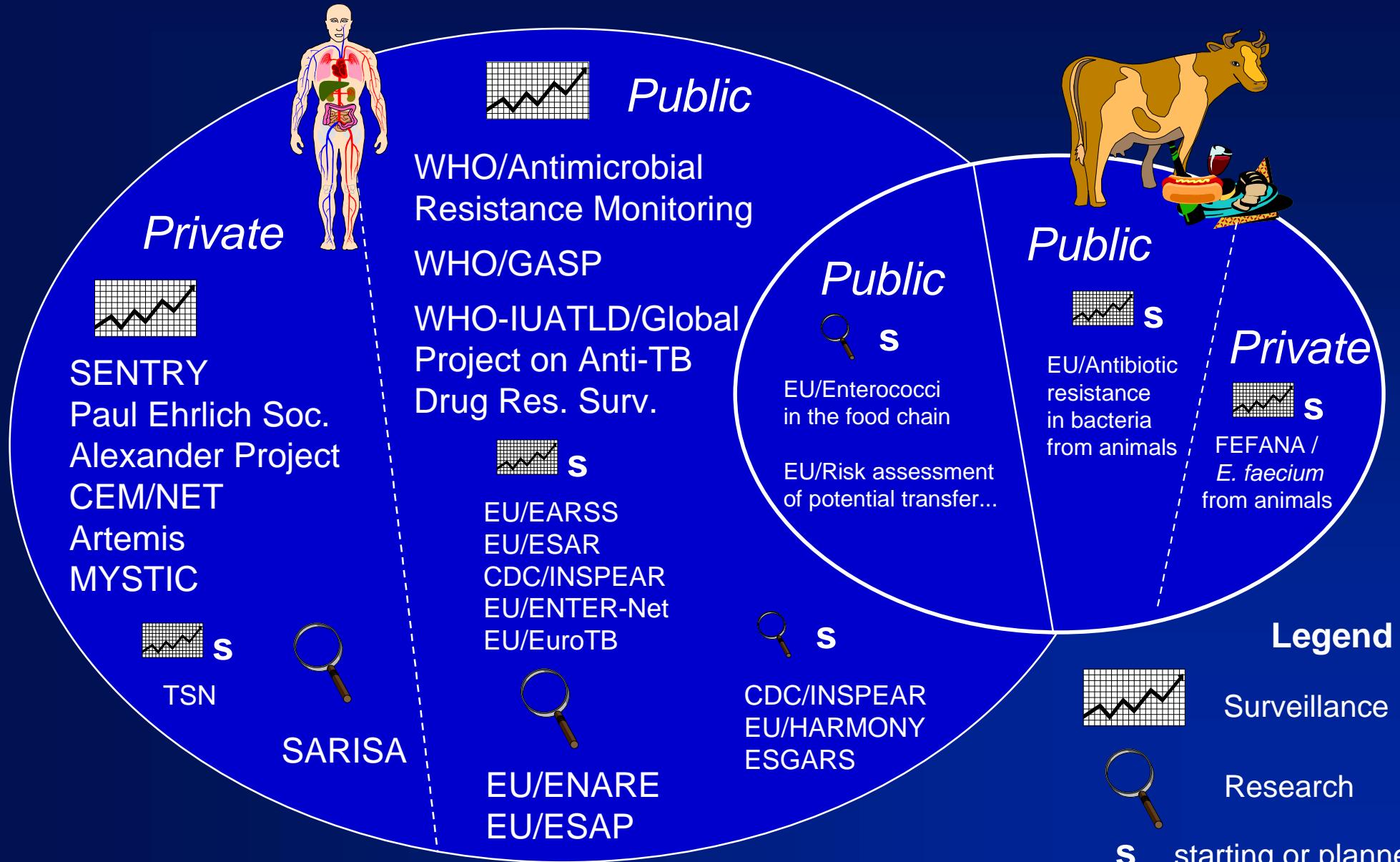
TYPE OF SOURCE	ADVANTAGES	LIMITATIONS
<b>Resistance Studies</b>	- isolates available	- limited time period
<b>Resistance Surveys</b>	- additional clinical data and data on unit practices	- limited time period - isolates not available
<b>Reference Centers</b>	- already exist - isolates available	- major sample bias
<b>Nosocomial Infection Surveillance Systems</b>	- already exist - clinical data on nosocomial infections	- only isolates associated with nosocomial infections
<b>Resistance Surveillance Systems</b>	- databases already exist in microbiology laboratories - adapted to objective	- no clinical data



# Surveillance Studies vs. Systems

	<b>Advantages</b>	<b>Limitations</b>
<b>Surveillance Studies</b>	<ul style="list-style-type: none"><li>- isolates available</li><li>- possibility of centralized testing</li></ul>	<ul style="list-style-type: none"><li>- additional workload</li><li>- usually time-limited</li></ul>
<b>Surveillance Systems</b>	<ul style="list-style-type: none"><li>- no additional workload (once implemented)</li><li>- on-going</li><li>- possible rapid feed-back</li></ul>	<ul style="list-style-type: none"><li>- isolates not available</li></ul>

# Surveillance of Antimicrobial Resistance in Europe, 1998



Source: Monnet DL. 1998.



# What Is the Objective of Surveillance?

- Follow resistance trends?
- Alert for new resistance mechanisms?
- Detect colonized patients?
- Evaluate the effect of interventions to control antimicrobial resistance?
- Identify risk factors for antimicrobial resistance?



# Definition of Public Health Surveillance

‘Ongoing and systematic collection, analysis and interpretation of outcome-specific data essential to the planning, implementation, and evaluation of public health practice, closely integrated with the timely dissemination of these data to those who need to know. The final link of the surveillance chain is the application of these data to the control and prevention of human disease and injury.’

Source: Thacker SB. Surveillance. In Gregg, ed. Field epidemiology, 1996:16-32.



# Criteria for Evaluating Surveillance Systems

- Usefulness
- Simplicity
- Flexibility
- Acceptability
- Resources (direct costs)
- Sensitivity
- Predictive value positive
- Representativeness
- Timeliness

Source: CDC/EPO. Klaucke DN et al. MMWR 1988;37(S-5):1-18.



# Why Do We Need Antimicrobial Resistance Networks?

- To harmonize susceptibility testing methods
- To compare and improve resistance rates
- To improve alert for emerging resistance
- To test interventions and share experiences in the control of antimicrobial resistance



# Problems

- Standardization of susceptibility testing
- Standardization of surveillance methodology
- Coordination of initiatives



# Standardization and Quality of Susceptibility Testing

- Internal quality control
- External quality control
- Accreditation of microbiology laboratories?



# Consensus on Minimal Data Set

- Patient ID (coded)?
- Hospital/unit ID?
- Blood only vs. all isolates?
- Quantitative data (diameter or MIC)



# Standardization and Quality of Databases

- Level of stratification: hospital/type of unit/unit
- Similar coding system (WHONET?)
- Duplicate isolates



# Duplicate Isolates (1): Urinary Tract Isolates, Finland, 1984

%Trimethoprim-R  
*Escherichia coli*

Raw data	9.8
Repeat samples excluded	9.2

$P > 0.10$

Source: Huovinen P. J. Antimicrob. Chemother. 1985;16:443-447.



# Duplicate Isolates (2): ICU Isolates, France, 1991

	%Ceftazidime-R <i>E. cloacae</i>	%Ceftazidime-R <i>P.aeruginosa</i>
Raw data	46	43
First isolate per patient only	33	26

Source: Jarlier V, et al. Intensive Care Med. 1996;22:1057-1065.



# Duplicate Isolates (3): Neonatal ICU Isolates, Germany, 1996

	%MRSA	%Azlocillin-R <i>P.aeruginosa</i>
Raw data	1.7	26.1
Identical susceptibility patterns excluded	5.6	27.8
Identical PFGE types excluded	4.4	27.8

Source: Döring G et al. Clin. Microbiol. Infect. 1997;3(Suppl. 2):70.



# Duplicate Isolates (4): All Hospital Isolates, United States, 1995

- 10 frequently (>250) and 10 infrequently (<100) encountered microorganisms
- Antimicrobial susceptibilities decreased an average 1.07% +/- 9.48% (NS)
- Large variations from one microorganism to another

Source: Tidwell BH, et al. Infect. Control Hosp. Epidemiol. 1995;16(4-Part2):P36.



# A Solution for Duplicate Isolates: WHONET Software

- Patient's first isolate
- Patient's most susceptible result (for each antibiotic)
- Patient's most resistant result (for each antibiotic)
- By interpretation (for each antibiotic, by this method  
 $\%R + \%I + \%S$  may exceed 100%)

Source: Stelling JM, O'Brien TF. Clin. Infect. Dis. 1997; 24 Suppl 1:S157-68.

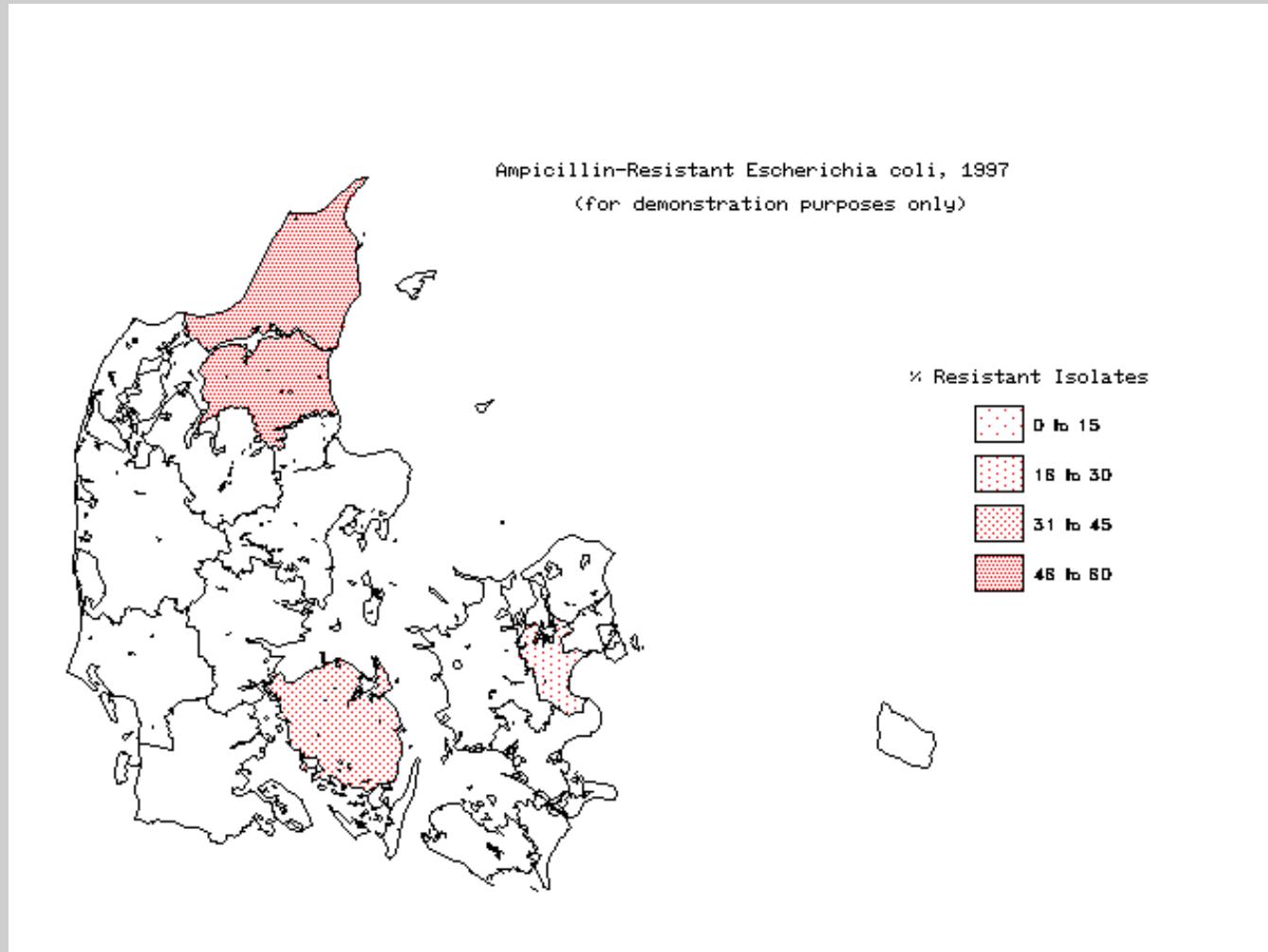


# Feed-Back of Results

- Must be adapted to objective
- Choice of measurement unit: cases, frequency, incidence, incidence density
- Use graphic outputs



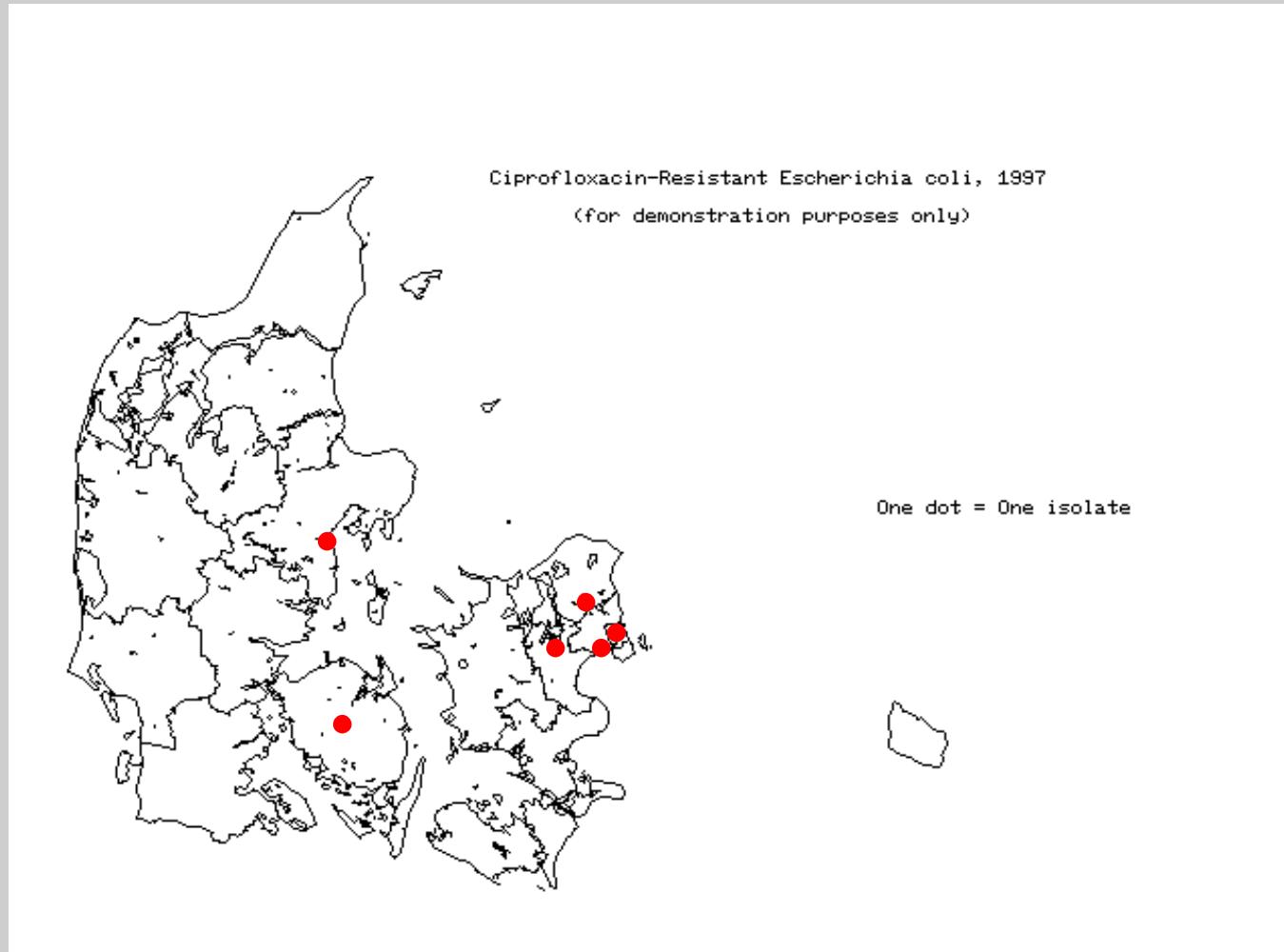
# Feed-Back of Results



Fictive data (for demonstration purposes only).



# Feed-Back of Results



Fictive data (for demonstration purposes only).



# What Is “Epidemiology” of Antimicrobial Resistance?

- Trends with geographic distribution?
- Epidemiologic typing?
- Studies on risk factors?

- Overuse
- Misuse
- Co-use

## Antimicrobial Use



## Patients

- Intensive care
- Type of ICU
- Device utilization

## Antimicrobial Resistance in Hospitals

- IC practices
- Workload
- Outbreaks
- Reservoirs
- Patient transfer

## Infection Control

## Community

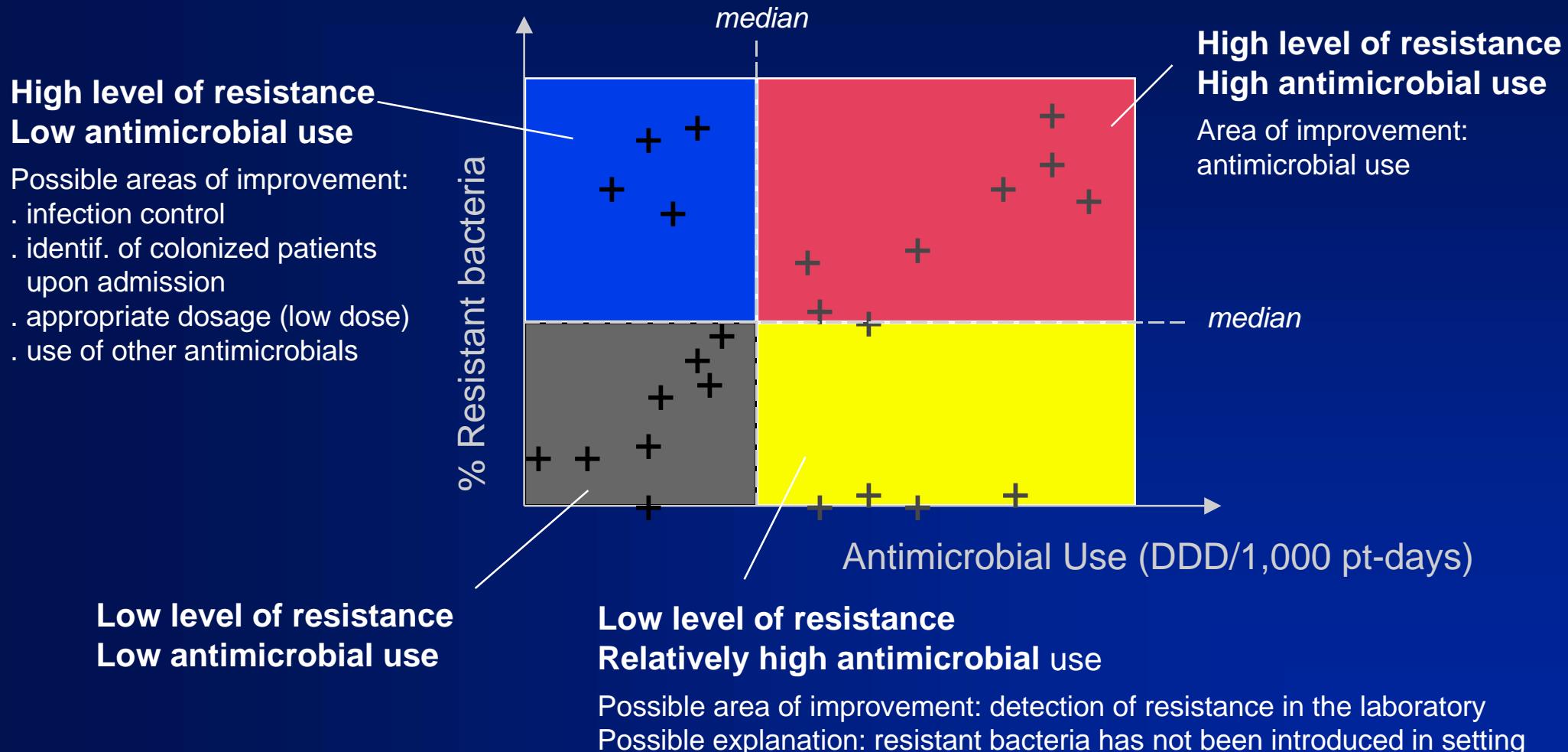
- Prevalence of resistance
- Antimicrobial use in humans and animals



# Are data available? At which level?

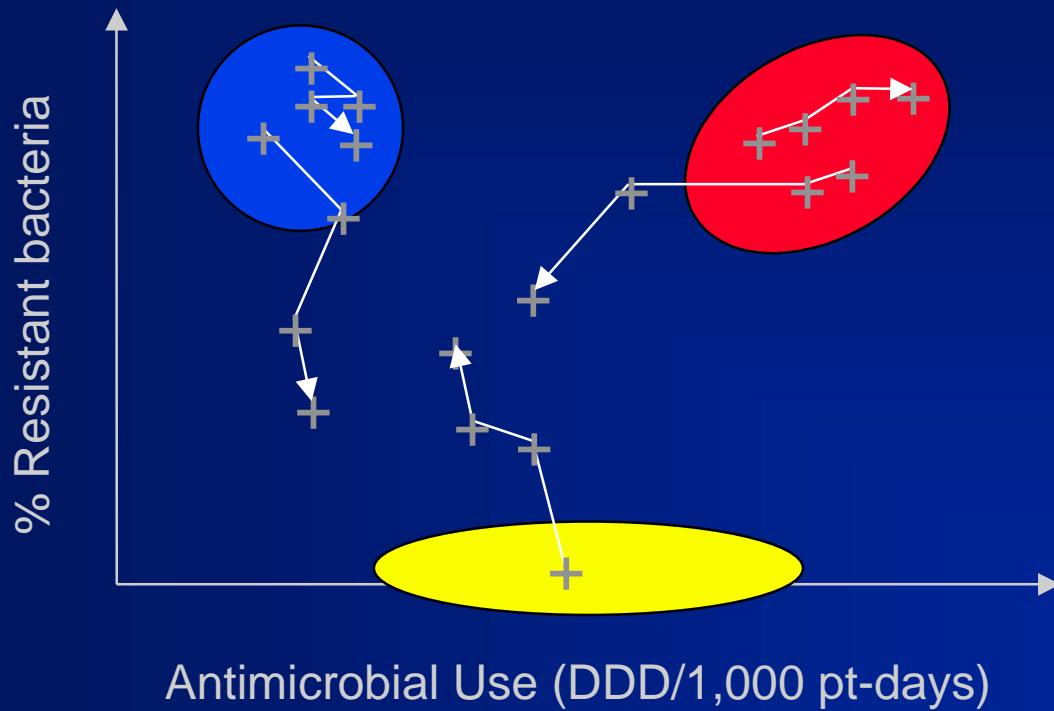
- Antimicrobial resistance?
- Antimicrobial use?
- Infection control practices?
  
- Patient level?
- Unit level?
- Hospital level?

# Usefulness of Antimicrobial Resistance and Antimicrobial Use Data Comparison

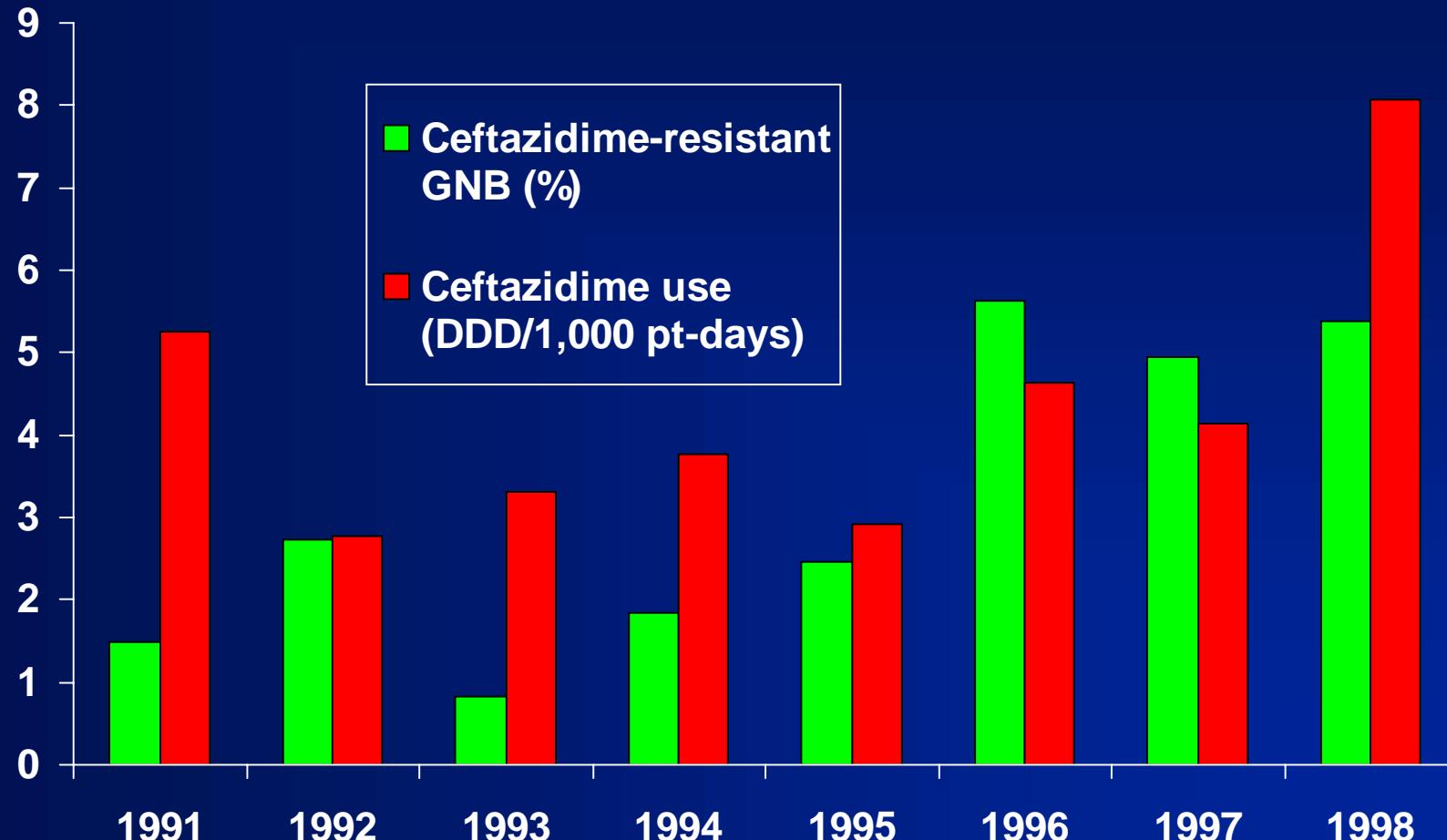


Adapted from: Infect Control Hosp Epidemiol 1998;19:388-394.

# Taking Time into Account: Evaluating Interventions



# Yearly Percent Ceftazidime-Resistant/Intermediate Gram-Negative Bacilli and Hospital Ceftazidime Use, Hospital Vega Baja, Spain, 1991-1998



Source: López-Lozano JM, Hospital Vega Baja, Orihuela (Alicante), Spain.



# What Is Time Series Analysis?

- The analysis of time series, i.e. series of data collected over time at short intervals, as compared to the study period
- Ability to take into account the possible dependence of consecutive measurements
- In 1976, Box & Jenkins provided a practical method to build time series models



# Why Use Time Series Analysis to Model Resistance Data?

- Short-term variations of % resistant isolates
- Observations are necessarily correlated
- We should not disregard correlation between consecutive measurements of resistance



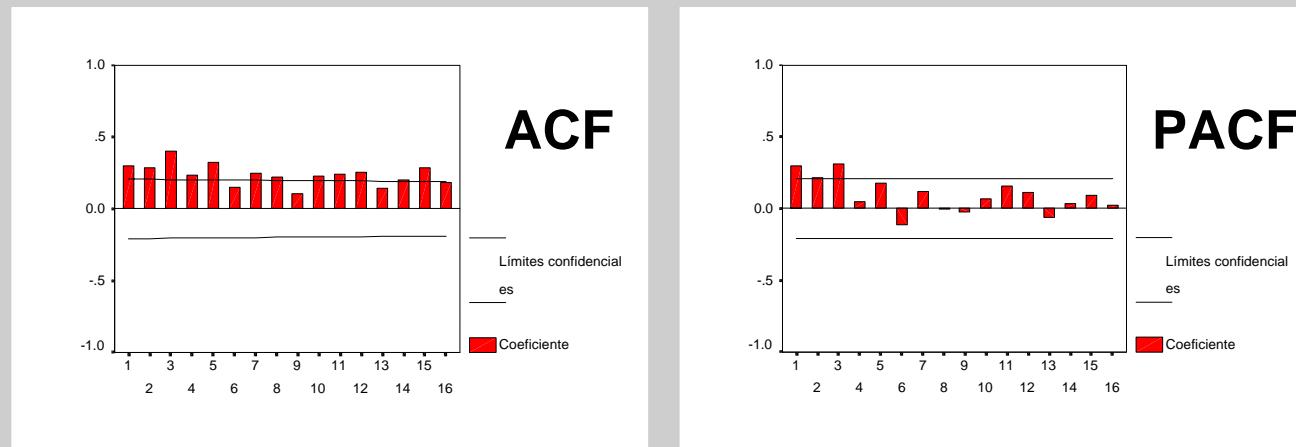
# Box-Jenkins (ARIMA) Models

- AR (Autoregressive): previous values
- I (Integrated): trends
- MA (Moving Average): abrupt changes in the near past
- e.g., for ceftazidime-resistant gram-negative bacilli:  
$$\%R(t) = 3.314 + 0.346 \text{ AR3} + 0.266 \text{ AR5}$$



# Building an ARIMA Model

## ■ Model identification



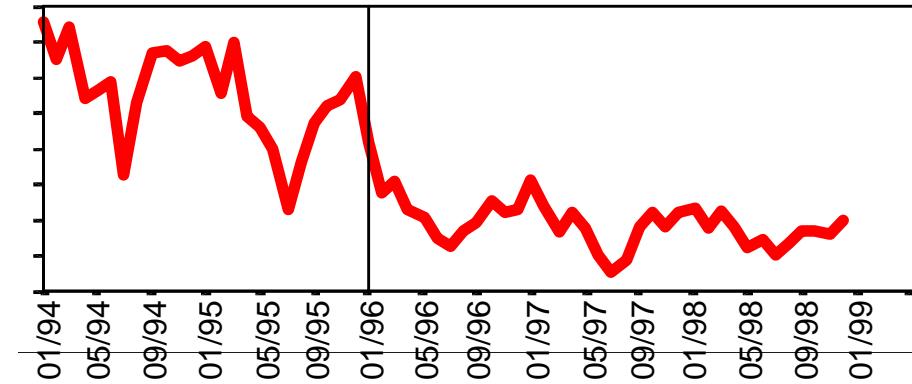
- Model estimation
- Diagnostics check on model adequacy

Source: Box GEP & Jenkins GM, 1976.

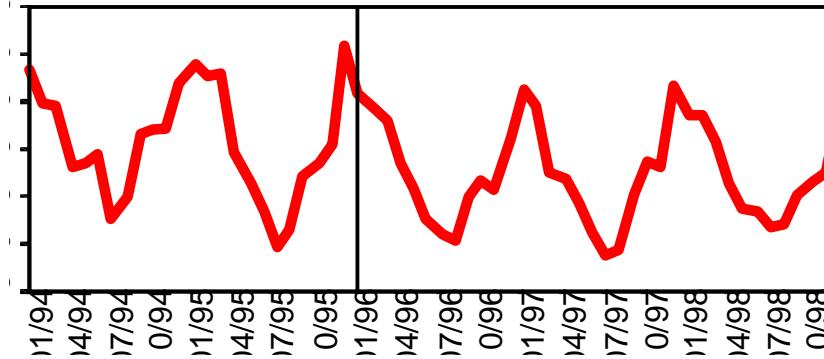


# Change in the Subsidization of Antimicrobials, Denmark, 1996

Monthly nr.  
tetracycline  
prescriptions



Monthly nr.  
ext.-spectrum  
beta-lactam  
prescriptions



Source: Monnet DL, et al. 39th ICAAC, San Francisco (CA), 1999, abstr. 180.



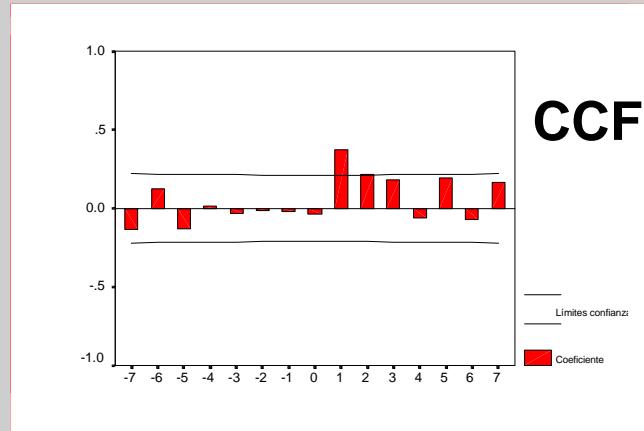
# Transfer Function (TF) Models

- Multivariate models
- To assess relationships between a target (output) series and one or several explanatory (input) series
- Previous applications in medicine, e.g.:
  - individual: exercise and blood glucose
  - population: climatic variables and mortality, influenza and mortality



# Building a TF Model

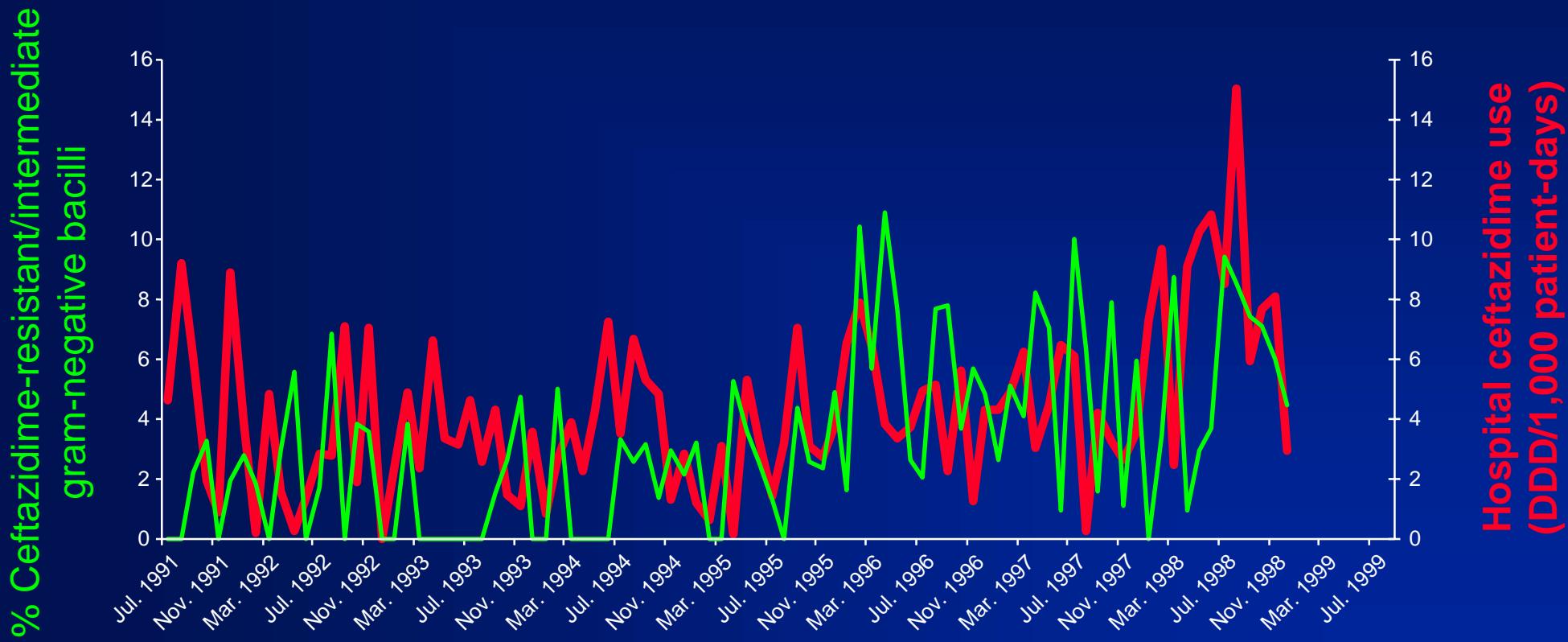
- Build an ARIMA model for both series
- Identify the lag between series



- Model estimation
- Diagnostics check on model adequacy

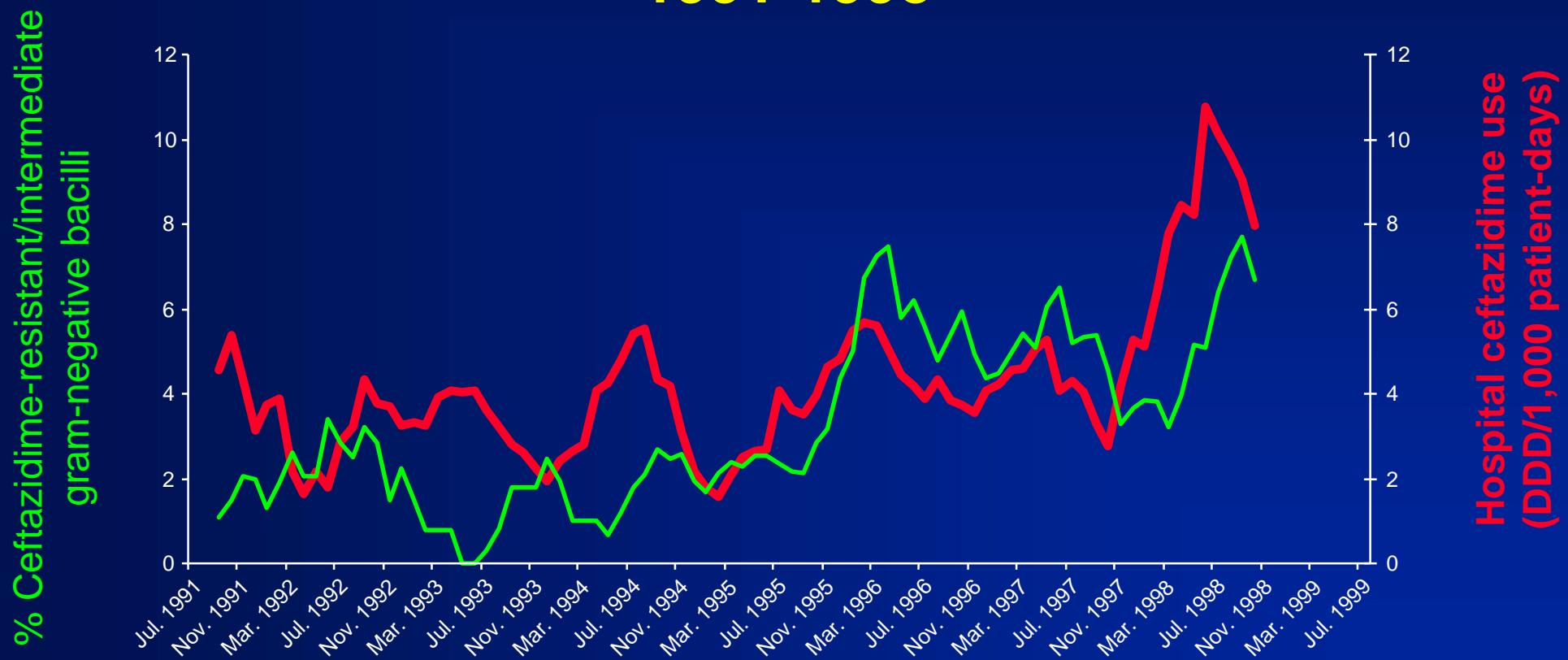
Source: Haugh LD. J Am Stat Assoc 1976;71:378-385.

# Monthly Percent Ceftazidime-Resistant/Intermediate Gram-Negative Bacilli and Hospital Ceftazidime Use, Hospital Vega Baja, Spain, 1991-1998



Source: López-Lozano JM, et al. Int J Antimicrob Agents 2000;14:21-30.

# 5-Month Moving Average Percent Ceftazidime-Resistant/Intermediate Gram-Negative Bacilli and Hospital Ceftazidime Use, Hospital Vega Baja, Spain, 1991-1998



Source: López-Lozano JM, et al. Int J Antimicrob Agents 2000;14:21-30.

# **Transfer Function Model for Percent Ceftazidime-Resistant/Intermediate Gram-Negative Bacilli Series**

(taking into account hospital ceftazidime use)

Term	Parameter (SE)	T-ratio	P-value
Constant	1.354 (0.760)	1.78	0.078
AR3	0.352 (0.096)	3.68	< 0.001
AR5	0.265 (0.098)	2.72	< 0.01
ULAG1	0.420 (0.096)	4.34	< 0.0001

**Ceftazidime Use  
1 month before**

Source: López-Lozano JM, et al. Int J Antimicrob Agents 2000;14:21-30.

# **Percent Ceftazidime-Resistant/Intermediate Gram-Negative Bacilli and Ceftazidime Use Time Series: Goodness of Fit of Univariate and Multivariate Models**

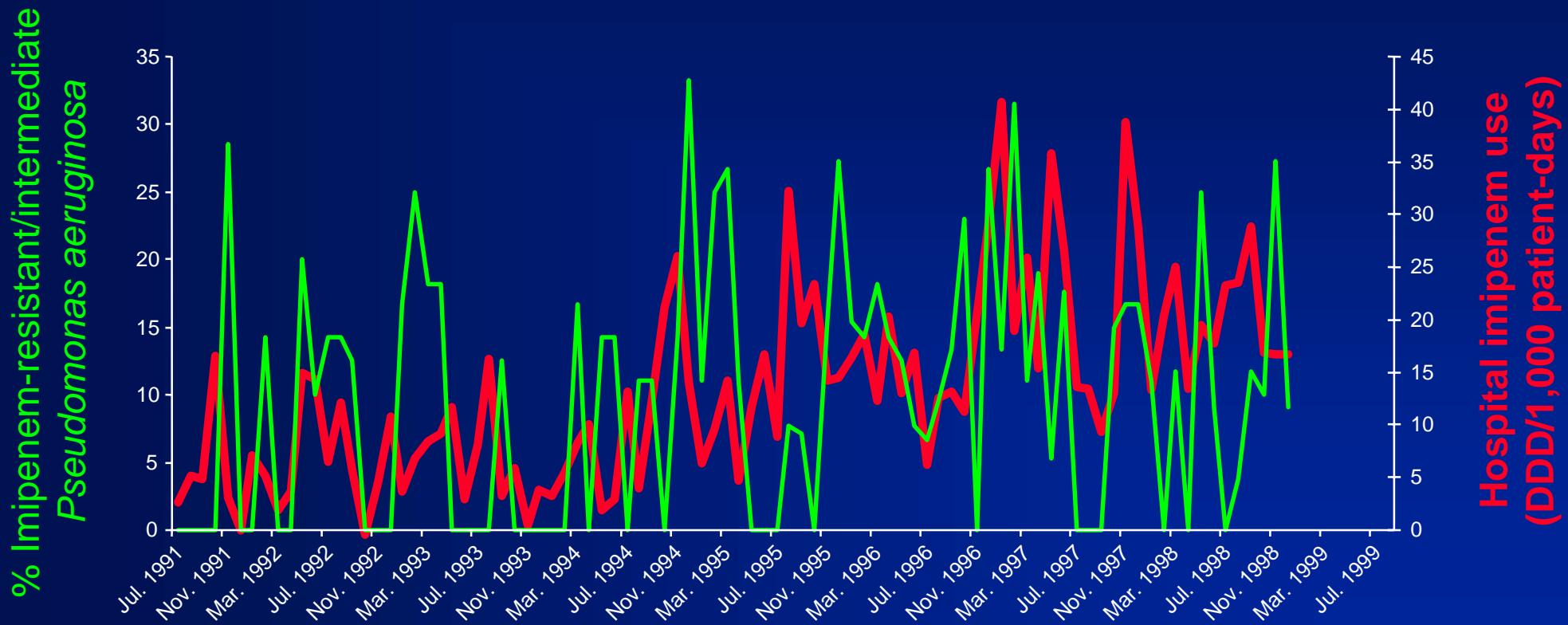
<b>Model</b>	<b>AIC *</b>	<b>R<sup>2</sup> **</b>	<b>Residual Variance</b>
AR(3,5)	432	0.38	6.86
AR(3,5) ULAG1	416	0.44	5.67

\* AIC: Akaike Information Criterion

\*\* R<sup>2</sup>: determination coefficient

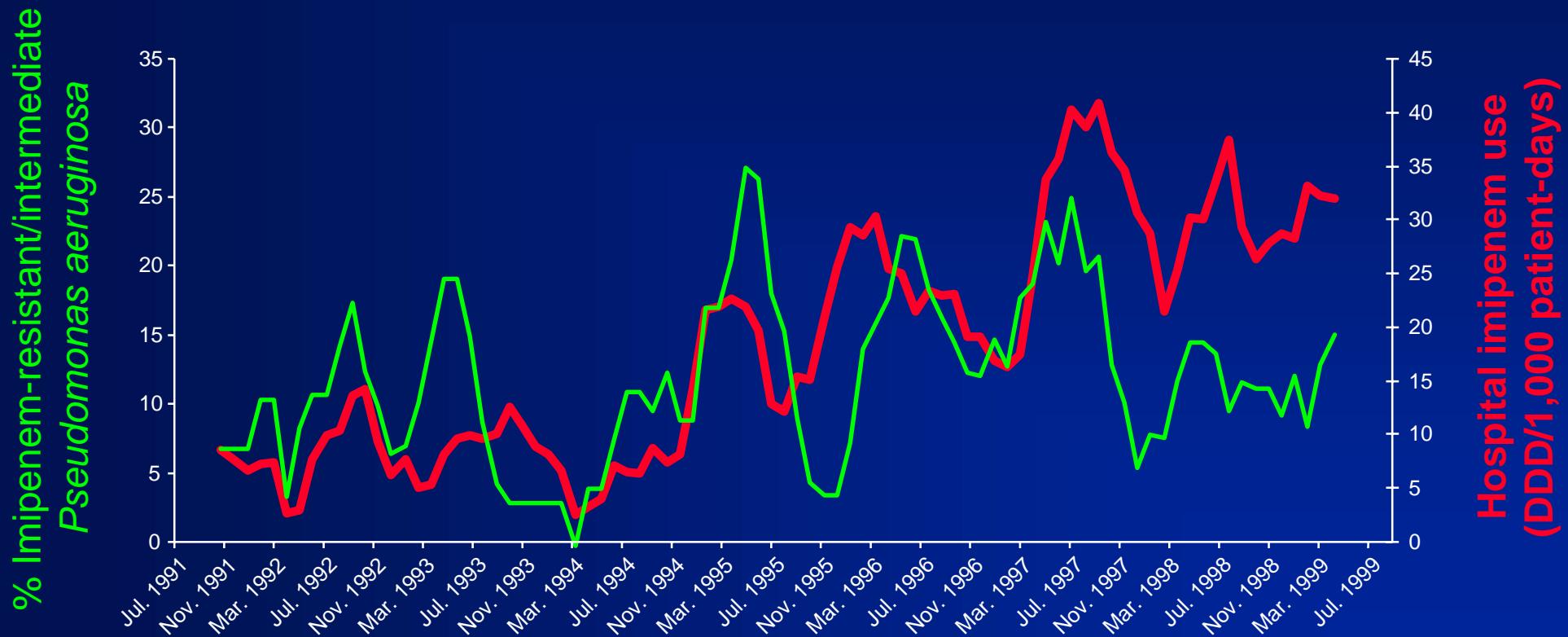
Source: López-Lozano JM, et al. Int J Antimicrob Agents 2000;14:21-30.

# Monthly Percent Imipenem-Resistant/Intermediate *P. aeruginosa* and Hospital Imipenem Use, Hospital Vega Baja, Spain, 1991-1998



Source: López-Lozano JM, et al. Int J Antimicrob Agents 2000;14:21-30.

# 5-Month Moving Average Percent Imipenem-Resistant/Intermediate *P. aeruginosa* and Hospital Imipenem Use, Hospital Vega Baja, Spain, 1991-1998



Source: López-Lozano JM, et al. Int J Antimicrob Agents 2000;14:21-30.

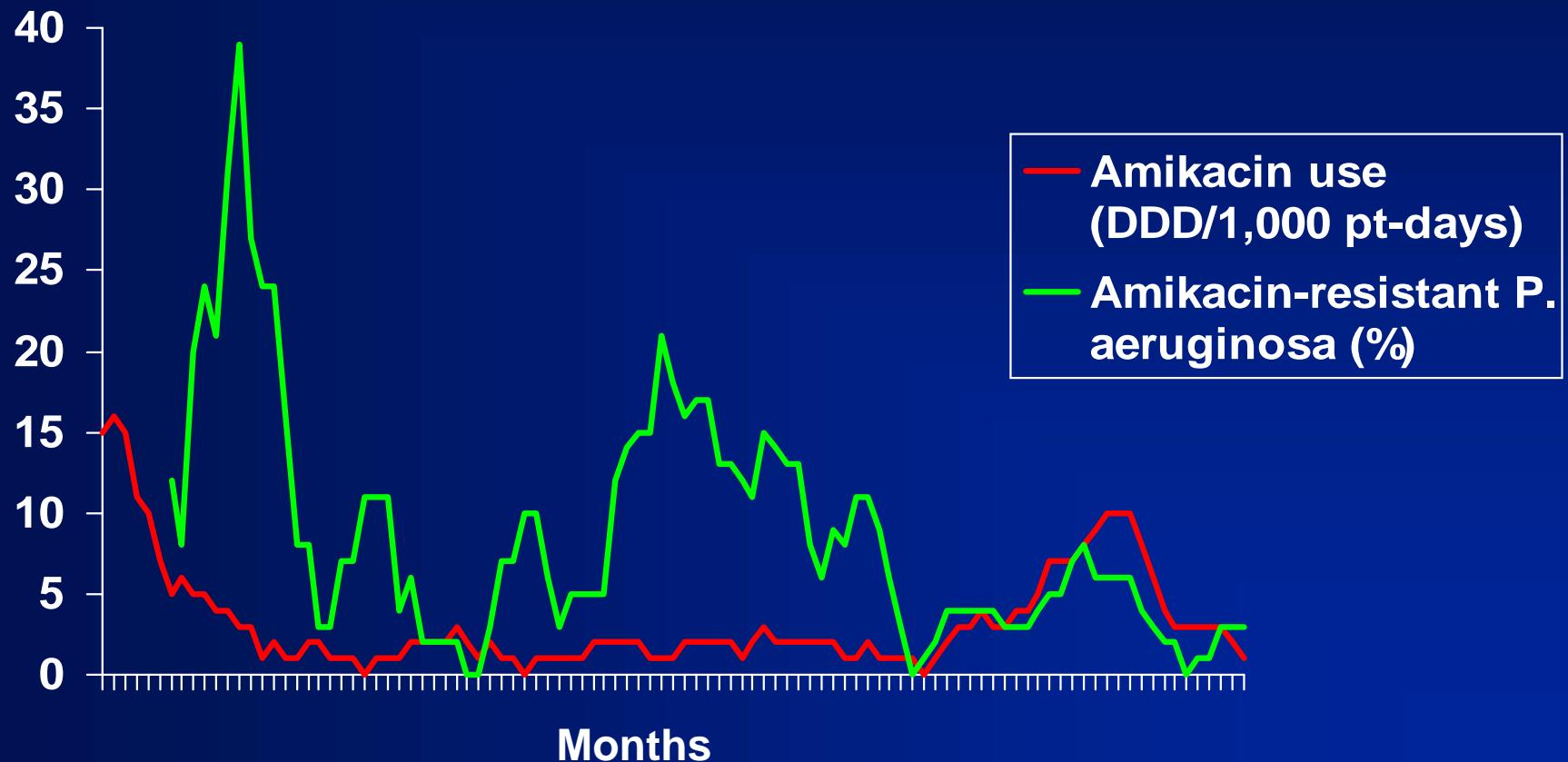
# Transfer Function Model for Percent Imipenem-Resistant/Intermediate *Pseudomonas aeruginosa* Series (taking into account hospital imipenem use)

Term	Parameter (SE)	T-ratio	P-value
Constant	4.388 (1.717)	2.56	< 0.05
AR5	-0.247 (0.108)	-2.28	< 0.05
MA1	-0.212 (0.106)	-2.00	< 0.05
MA6	-0.241 (0.105)	-2.29	< 0.02
ULAG1	0.400 (0.104)	3.83	< 0.001

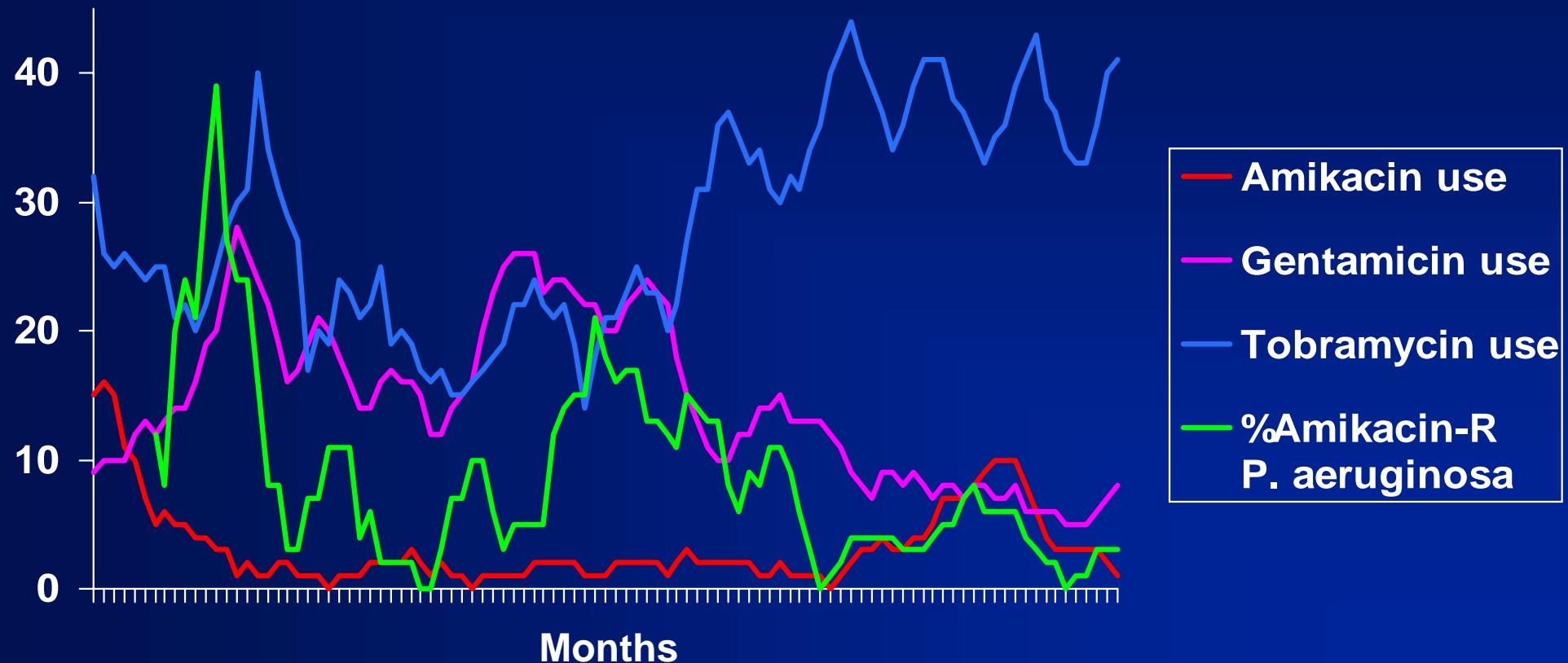
Imipenem Use  
1 month before

Source: López-Lozano JM, et al. Int J Antimicrob Agents 2000;14:21-30.

# 5-Month Moving Average Percent Amikacin-Resistant/Intermediate *P. aeruginosa* and Hospital Amikacin Use, Hospital Vega Baja, Spain, 1991-1999



# 5-Month Moving Average Percent Amikacin-Resistant/Intermediate *P. aeruginosa* and Hospital Aminoglycoside Use, Hospital Vega Baja, Spain, 1991-99



Source: López-Lozano JM, et al. 3rd Eur. Congr. Chemother., Madrid, 7-10 May 2000.

# 5-Month Moving Average Percent Amikacin- Resistant/Intermediate *P. aeruginosa* and 3rd-Gen. Cephalosporin Use, Hospital Vega Baja, Spain, 1991-99



Source: López-Lozano JM, et al. 3rd Eur. Congr. Chemother., Madrid, 7-10 mai 2000.

# **Transfer Function Model for Percent Amikacin-Resistant *Pseudomonas aeruginosa* Series**

(taking into account aminoglycoside and 3rd-gen. cephalosporin use)

Term	Order	Parameter (SE)	T-ratio	P-value
Constant	0	-20.741 (4.516)	-4.59	< 0.001
Amikacin	7	0.973 (0.391)	2.49	< 0.02
Gentamicin	7	0.420 (0.153)	2.75	< 0.01
Cefotaxime	3	0.297 (0.112)	2.66	< 0.01
Cefotaxime	6	0.437 (0.110)	3.98	< 0.001
AR	2	0.295 (0.091)	3.24	< 0.01

Source: López-Lozano JM, et al. 3rd Eur. Congr. Chemother., Madrid, 7-10 May 2000.



# Conclusion (1)

- Make antimicrobial use and susceptibility data available from hospitals, at the patient or the hospital/unit level
- Modelling has proven difficult
- Multivariate time series analysis techniques, such as transfer function, can provide an answer



# Conclusion (2)

- Many surveillance systems are available
- Need for standardization and coordination
- Use "surveillance" studies for improving detection and evaluation of drugs
- Need for independent worldwide/regional public health surveillance networks
- **Monitor to prevent and control resistance!**